

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

* 2. Type of Application:

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify)

* 3. Date Received:

05/06/2010

4. Applicant Identifier:

5a. Federal Entity Identifier:

* 5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name: Washoe Tribe of Nevada and California

* b. Employer/Taxpayer Identification Number (EIN/TIN):

88-0120754

* c. Organizational DUNS:

021982913

d. Address:

* Street1: 919 Highway 395 South

Street2:

* City: Gardnerville

County:

* State:

NV: Nevada

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code: 89410

e. Organizational Unit:

Department Name:

Environmental

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

* First Name:

Marie

Middle Name:

* Last Name:

Barry

Suffix:

Title: Environmental Director

Organizational Affiliation:

Washoe Tribe of Nevada and California

* Telephone Number: 775-265-8682

Fax Number: 775-265-3211

* Email: marie.barry@washoetribe.us

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JUL 07 2010

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

I: Indian/Native American Tribal Government (Federally Recognized)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

Environmental Protection Agency

11. Catalog of Federal Domestic Assistance Number:

66.461

CFDA Title:

Regional Wetland Program Development Grants

*** 12. Funding Opportunity Number:**

EPA-REG9-WP10

*** Title:**

FY10 Region 9 Wetland Program Development Grants

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Douglas County, Carson City, Nevada and Alpine County, California

*** 15. Descriptive Title of Applicant's Project:**

The Washoe Tribe Wetland Development and Assessment Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

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16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="122,386.00"/>
* b. Applicant	<input type="text" value="40,795.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="163,181.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

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Prescribed by OMB Circular A-102

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 4040-0006
Expiration Date 07/30/2010

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Washoe Tribe Wetland Monitoring and Assessment	66.461	\$	\$	\$ 122,386.00	\$ 40,795.00	\$ 163,181.00
2.						
3.						
4.						
5. Totals		\$	\$	\$ 122,386.00	\$ 40,795.00	\$ 163,181.00

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Prescribed by OMB (Circular A-102) Page 1

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	Washoe Tribe Wetland Monitoring and Assessment				
a. Personnel	\$ 65,288.00	\$	\$	\$	\$ 65,288.00
b. Fringe Benefits	27,521.00				27,521.00
c. Travel	3,500.00				3,500.00
d. Equipment	0.00				
e. Supplies	2,135.00				2,135.00
f. Contractual	5,000.00				5,000.00
g. Construction	0.00				
h. Other	6,600.00				6,600.00
i. Total Direct Charges (sum of 6a-6h)	110,044.00				110,044.00
j. Indirect Charges	12,342.00				12,342.00
k. TOTALS (sum of 6i and 6j)	\$ 122,386.00	\$	\$	\$	\$ 122,386.00
7. Program Income	\$	\$	\$	\$	\$

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Prescribed by OMB (Circular A -102) Page 1A

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	Washoe Tribe Wetland Monitoring and Assessment	\$ 40,795.00	\$	\$	\$ 40,795.00
9.					
10.					
11.					
12. TOTAL (sum of lines 8-11)		\$ 40,795.00	\$	\$	\$ 40,795.00

SECTION D - FORECASTED CASH NEEDS					
Total for 1st Year		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 91,592.00	\$ 20,398.00	\$ 20,398.00	\$ 20,398.00	\$ 20,398.00
14. Non-Federal	\$ 27,196.00	6,799.00	6,799.00	6,799.00	6,799.00
15. TOTAL (sum of lines 13 and 14)		\$ 108,788.00	\$ 27,197.00	\$ 27,197.00	\$ 27,197.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program		FUTURE FUNDING PERIODS (YEARS)			
		(b) First	(c) Second	(d) Third	(e) Fourth
16.		\$	\$	\$	\$
17.					
18.					
19.					
20. TOTAL (sum of lines 16 - 19)		\$	\$	\$	\$

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges: \$110,044 federal funds requested	22. Indirect Charges: \$12,343 federal funds requested
23. Remarks:	

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BUDGET CATEGORIES INFORMATION (FROM SF424A, SECTION B TOTALS)

Enter Total Program Costs, i.e., Federal and Non-Federal Funds Combined

(Attach Separate Sheet(s) if necessary)

Object Class Categories

f.	CONTRACTUAL: List each planned contract separately, type of services to be procured, proposed procurement method (i.e. small purchase, formal advertising, competitive negotiations or non-competitive negotiations) and the estimated cost. Also, please indicate if the proposed contract performance period will go beyond the budget period of assistance for which this application is submitted.	
	Lab fees (competitive negotiation)	5,000.00
	COMBINED CONTRACTUAL TOTAL	\$ 5,000.00
g.	CONSTRUCTION (N/A)	
h.	OTHER: Explain by major categories any items not included in above standard budget categories. <u>Caution:</u> Do not include or proposed as a direct project cost, any cost that is indirect in nature (see OMB Circular A-87) or is included in the indirect cost pool on which the indirect cost rate (item j) is based.	
	Communication/Maintenance: (cell phone, internet, landline, elect., sewer/water, maintenance etc.)	3,000.00
	Cell phone (\$100 mo. x 18)	1,800.00 <i>CLF</i>
	Vehicle Maintenance, Insurance and Registration	1,800.00
	Volunteers (4 events x 20 people @ \$13.50)	1,230.00 <i>match</i>
	OTHER TOTAL	\$ 7,830.00
i.	TOTAL DIRECT CHARGES: (Sum of Items a. through h.)	\$ 148,129.00
j.	INDIRECT COSTS: (Attach a copy of your latest indirect cost agreement)	\$ 15,051.00
k.	TOTAL PROPOSED PROGRAM COSTS (Sum of Items i. and j.)	
	SHARE: FEDERAL 90 % GRANTEE 10 %	\$ 163,180.00

KEY PEOPLE LIST

Please show street address as well as Post Office Box Number where applicable.

AGENCY DIRECTOR

(Individual who is authorized to sign the assistance agreement application and award acceptance).

Name: Waldo W. Walker
Title: Chairman
Address: 919 Highway 395 South
Gardnerville, NV 89410

Telephone: 775.265.8600

PROGRAM DIRECTOR

(Technical program director; generally the same individual as the "contact person" in block #5 of the application).

Name: Marie Barry
Title: Environmental Director
Address: 919 Highway 395 South
Gardnerville, NV 89410

Telephone: 775.265.8682

FINANCE DIRECTOR

(This is the person who is responsible for (1) maintaining the accounting/financial management system supporting grant expenditures; (2) preparing financial reports; and (3) maintaining the Letter of Credit. If any of these responsibilities are located in another office, please so indicate by showing below the name(s), title(s) organization name(s), address and telephone.)

Name: Pauline Howe
Title: Finance Director
Address: 919 Highway 395 South
Gardnerville, NV 89410

Telephone: 775.265.8600

BUDGET CATEGORIES INFORMATION (FROM SF424A, SECTION B TOTALS)
Enter Total Program Costs, i.e., Federal and Non-Federal Funds Combined

(Attach Separate Sheet(s) if necessary)

Object Class Categories

d. Equipment:		
(1) List each item costing \$5,000 or more to be purchased for this project;		
Troll 9500 x 2 (PL -93-638)		(12,000.00)
SUB-TOTAL		12,000.00
(2) List items costing less than \$5,000. You may list the items by groups, as appropriate.		
Trailer and quads \$25/day x 60 days		(1,000.00)
Computer GIS software and GPS unit		(2,019.00)
SUB-TOTAL		3,019.00
COMBINED EQUIPMENT TOTAL		(15,019.00)
e. Supplies: List by groups, as appropriate.		
General Office Supplies: \$45/mo x 18mo		810.00
Field Supplies:		1,325.00
SUPPLIES TOTAL		2,135.00

BUDGET CATEGORIES INFORMATION (FROM SF424A, SECTION B TOTALS)

Enter Total Program Costs, i.e., Federal and Non-Federal Funds Combined

(Attach Separate Sheet(s) if necessary)

Object Class Categories

a. Personnel: (Program Staffing - include and indicate vacant positions)	Number in Position Class	Annual Salary Rate	Work Years	Personnel Costs
Position Title				
(1)	(2)	(3)	(4)	(5)
Environmental Director	1	92,040.00	.09	8,850.00
Environmental Coordinator	1	69,160.00	.05	3,325.00
Environmental Specialist II	6	48,630.40	1.0	48,630.00
Environmental Specialist II PL 93-638 funding	6	48,630.40	.37	(18,003.00)
Clerical Assistant	1	27,456.00	.04	1,320.00
Administrative Assistant I	1	40,185.60	.07	3,163.00
PERSONNEL CATEGORY TOTALS				83,291.00
b. FRINGE BENEFITS: TOTAL				31,354.00
c. TRAVEL: TOTAL (Itemize below - See Sample pages)				3,500.00
Local travel: \$.50/mi x 3,000 = \$1,500.00				
Non Local: Air fare/motel/conf. fees/per diem =\$2,000				

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8. EPA Wetland Development Grant	\$ 40,795.00	\$	\$	\$	40,795.00
9.					0.00
10.					0.00
11.					0.00
12. TOTAL (sum of lines 8-11)	\$ 40,795.00	\$ 0.00	\$ 0.00	\$	40,795.00
SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	\$	\$	\$	\$	\$
13. Federal	122,386.00	20,000.00	35,000.00	50,000.00	17,386.00
14. Non-Federal	40,795.00	15,000.00	15,000.00	5,000.00	5,795.00
15. TOTAL (sum of lines 13 and 14)	\$ 163,181.00	\$ 35,000.00	\$ 50,000.00	\$ 55,000.00	\$ 23,181.00
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (Years)				(e) Fourth
	(b) First	(c) Second	(d) Third	(e) Fourth	
16. EPA Wetland Development Grant	\$ 122,386.00	\$ 122,000.00	\$ 100,000.00	\$	100,000.00
17.					
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$ 122,386.00	\$ 122,000.00	\$ 100,000.00	\$	100,000.00
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges:					
\$148,129.00	22. Indirect Charges:				
	\$15,052.00				
23. Remarks: Indirect Cost Proposal is on file at EPA - Pending approval from DOI expect within the next month will send to EPA as soon as it is approved.					

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BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Wetland Dev Grant	66.461	\$	\$	\$ 122,386.00	\$ 40,795.00	\$ 163,181.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 122,386.00	\$ 40,795.00	\$ 163,181.00

SECTION B - BUDGET CATEGORIES

Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY					Total (5)
	(1)	(2)	(3)	(4)	(5)	
a. Personnel	\$ 83,291.00	\$ 18,003	\$ 65,288		\$	\$ 83,291.00
b. Fringe Benefits	31,354.00	3,833	27,521			31,354.00
c. Travel	3,500.00		3,500			3,500.00
d. Equipment	15,019.00	15,019				15,019.00
e. Supplies	2,135.00		2,135			2,135.00
f. Contractual	5,000.00		5,000			5,000.00
g. Construction						0.00
h. Other	7,830.00	4,230	6,600			7,830.00
i. Total Direct Charges (sum of 6a-6h)	148,129.00	38,085 0.00	110,044 0.00		0.00	148,129.00
j. Indirect Charges	15,052.00	2,710	12,342			15,052.00
k. TOTALS (sum of 6i and 6j)	\$ 163,181.00	\$ 40,795 0.00	\$ 122,386 0.00		\$ 0.00	\$ 163,181.00
7. Program Income	\$	\$	\$		\$	\$ 0.00

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Standard Form 424A (Rev. 7-97)

Prescribed by OMB Circular A-102

WASHOE TRIBE OF NEVADA AND CALIFORNIA

INDIRECT COST RATE PROPOSAL

FOR THE PERIOD JANUARY 1, 2010 THROUGH DECEMBER 31, 2010

INTRODUCTION

The Washoe Tribe of Nevada and California has received an indirect cost rate from the Department of the Interior since Calendar Year (CY) 1996. The proposed direct cost base is based upon estimated budgets for all programs to be administered by the Tribe during the period January 1, 2010 to December 31, 2010. All Federal, State, and Tribal Programs have been included in the proposal.

We have also included audited financial statements for the CY 2008. Using the CY 2008 figures, we determined that the Tribe had an actual over recovery of \$(13,838) which in accordance with P.L. 100-472, is included in the computation of the Tribe's CY 2010 proposed rate. We have proposed a fixed carry forward rate of 11.95% for our ISDA 638 programs and 11.75% for all other programs for the Tribe's CY 2010. This rate is based on total direct costs less capital expenditure, pass-through funds and other unallowable costs.

The individuals to contact in regard to this proposal are:

Pauline B. Howe, Chief Financial Officer
Washoe Tribe of Nevada and California
919 U.S. HWY 395 South
Gardnerville, NV 89410
775-765-8600 extension 1123
Email: phowe@washoetribe.us
Fax: 775-265-3767

or:

Preston Pete, Accounting Manager
Washoe Tribe of Nevada and California
919 U.S. HWY 395 South
Gardnerville, NV 89410
775-765-8600 extension 1128
Email: ppete@washoetribe.us
Fax: 775-265-8652

EIN: 88-0120754

WASHOE TRIBE OF NEVADA AND CALIFORNIA

INDIRECT COST RATE PROPOSAL

FOR THE PERIOD JANUARY 1, 2010 THROUGH DECEMBER 31, 2010

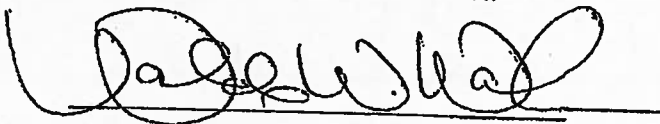
Certification of Indirect Costs

This is to certify that I have reviewed the indirect cost rate proposal submitted herewith and to the best of my knowledge and belief:

- (1) All costs included in this proposal dated February 17, 2010 to establish billing or final indirect costs rates for period starting January 1, 2010 to December 31, 2010 are allowable in accordance with the requirements of the Federal agreement(s) to which they apply and with the cost principles applicable to those agreements.
- (2) This proposal does not include any costs which are not allowable under applicable cost principles, such as (without limitation): Advertising and public relation costs, entertainment costs, fines and penalties, lobbying costs, and defense and prosecution of criminal and civil proceedings.
- (3) Any costs excluded from this proposal, such as Tribal enterprises, gaming operations, and Tribal schools and programs, including all or portions of the general fund, are separately administered and do not benefit from tribal administration included in the indirect cost proposal.
- (4) All costs included in this proposal are properly allocable to Federal agreements on the basis of a beneficial or causal relationship between the expenses incurred and the agreements to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently.

I declare under penalty of perjury that the foregoing is true and correct.

Signature:



Date:

2/17/10

Name:

Waldo W. Walker

Title:

Chairman

Name of Organization:

Washoe Tribe of NV and CA

**Indian Organizations
Indirect Cost Negotiation Agreement**

EIN: 88-0120754

Organization:

Washoe Tribe of Nevada and California
919 U.S. Highway 395 South
Gardnerville, Nevada 89410

Date: October 19, 2009

Report No(s) : 10-A-067

Filing Ref.:

Last Negotiation Agreement
dated April 6, 2009

The indirect cost rate contained herein is for use on grants, contracts, and other agreements with the Federal Government to which Public Law 93-638 and 2 CFR 225 (OMB Circular A-87) apply, subject to the limitations contained in 25 CFR 900 and in Section II.A. of this agreement. The rate was negotiated by the U.S. Department of the Interior, National Business Center, and the subject organization in accordance with the authority contained in 2 CFR 225.

Section I: Rate

Type	Effective Period		Rate*	Locations	Applicable To
	From	To			
Fixed Carryforward	01/01/09	12/31/09	11.44%	All	All Programs

*Base: Total direct costs, less capital expenditures and passthrough funds. Passthrough funds are normally defined as major subcontracts, payments to participants, stipends to eligible recipients, and subgrants, all of which normally require minimal administrative effort.

Treatment of fringe benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs; fringe benefits applicable to indirect salaries and wages are treated as indirect costs.

Section II: General

Page 1 of 3

A. Limitations: Use of the rate contained in this agreement is subject to any applicable statutory limitations. Acceptance of the rate agreed to herein is predicated upon these conditions: (1) no costs other than those incurred by the subject organization were included in its indirect cost rate proposal, (2) all such costs are the legal obligations of the grantee/contractor, (3) similar types of costs have been accorded consistent treatment, and (4) the same costs that have been treated as indirect costs have not been claimed as direct costs (for example, supplies can be charged directly to a program or activity as long as these costs are not part of the supply costs included in the indirect cost pool for central administration).

B. Audit: All costs (direct and indirect, federal and non-federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based will be compensated for in a subsequent negotiation agreement.

C. Changes: The rate contained in this agreement is based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in organizational structure, or changes in the method of accounting for costs that affect the amount of reimbursement resulting from use of the rate in this agreement, require the prior approval of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

D. Provisional/Final Rates: Within 6 months after year end, a final rate must be submitted based on actual costs. Billings and charges to contracts and grants must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the organization may not recover all indirect costs. Conversely, if the final rate is less than the provisional rate, the organization will be required to pay back the difference to the funding agency.

E. Fixed Carryforward Rate: The fixed carryforward rate is based on an estimate of costs that will be incurred during the period for which the rate applies. When the actual costs for such period have been determined, an adjustment will be made to the rate for a future period, if necessary, to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

F. Agency Notification: Copies of this document may be provided to other federal offices as a means of notifying them of the agreement contained herein.

G. Record Keeping: Organizations must maintain accounting records that demonstrate that each type of cost has been treated consistently either as a direct cost or an indirect cost. Records pertaining to the costs of program administration, such as salaries, travel, and related costs, should be kept on an annual basis.

H. Reimbursement Ceilings: Grantee/contractor program agreements providing for ceilings on indirect cost rates or reimbursement amounts are subject to the ceilings stipulated in the contract or grant agreements. If the ceiling rate is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

I. Use of Other Rates: If any federal programs are reimbursing indirect costs to this grantee/contractor by a measure other than the approved rate in this agreement, the grantee/contractor should credit such costs to the affected programs, and the approved rate should be used to identify the maximum amount of indirect cost allocable to these programs.

J. Central Service Costs: Where central service costs are estimated for the calculation of indirect cost rates, adjustments will be made to reflect the difference between provisional and final amounts.

K. Other:

1. The purpose of an indirect cost rate is to facilitate the allocation and billing of indirect costs. Approval of the indirect cost rate does not mean that an organization can recover more than the actual costs of a particular program or activity.

2. Programs received or initiated by the organization subsequent to the negotiation of this agreement are subject to the approved indirect cost rate if the programs receive administrative support from the indirect cost pool. It should be noted that this could result in an adjustment to a future rate.

3. New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal or calendar years. The proposals are due in our office 6 months prior to the beginning of the year to which the proposed rates will apply.

Section III: Acceptance

Listed below are the signatures of acceptance for this agreement:

By the Indian Organization:

Signature

Name (Type or Print)

Vice Chairman

Title

10/15/09

Date

By the Cognizant Federal Government Agency:

Signature

Deborah A. Moberly

Name

Indirect Cost Coordinator

Indirect Cost Services

Title

U.S. Department of the Interior

National Business Center

Agency

Date October 19, 2009

Negotiated by Victor Sepulveda

Telephone (916) 566-7111

WASHOE TRIBE OF NEVADA AND CALIFORNIA

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FOR THE PERIOD JANUARY 1, 2010 THROUGH DECEMBER 31, 2010

INTRODUCTION

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We have also included audited financial statements for the CY 2008. Using the CY 2008 figures, we determined that the Tribe had an actual over recovery of \$(13,838) which in accordance with P.L. 100-472, is included in the computation of the Tribe's CY 2010 proposed rate. We have proposed a fixed carry forward rate of 11.95% for our ISDA 638 programs and 11.75% for all other programs for the Tribe's CY 2010. This rate is based on total direct costs less capital expenditure, pass-through funds and other unallowable costs.

The individuals to contact in regard to this proposal are:

Pauline B. Howe, Chief Financial Officer
Washoe Tribe of Nevada and California
919 U.S. HWY 395 South
Gardnerville, NV 89410
775-765-8600 extension 1123
Email: phowe@washoetribe.us
Fax: 775-265-3767

or:

Preston Pete, Accounting Manager
Washoe Tribe of Nevada and California
919 U.S. HWY 395 South
Gardnerville, NV 89410
775-765-8600 extension 1128
Email: ppete@washoetribe.us
Fax: 775-265-8652

EIN: 88-0120754

WASHOE TRIBE OF NEVADA AND CALIFORNIA

INDIRECT COST RATE PROPOSAL

FOR THE PERIOD JANUARY 1, 2010 THROUGH DECEMBER 31, 2010

Certification of Indirect Costs

This is to certify that I have reviewed the indirect cost rate proposal submitted herewith and to the best of my knowledge and belief:

- (1) All costs included in this proposal dated February 17, 2010 to establish billing or final indirect costs rates for period starting January 1, 2010 to December 31, 2010 are allowable in accordance with the requirements of the Federal agreement(s) to which they apply and with the cost principles applicable to those agreements.
- (2) This proposal does not include any costs which are not allowable under applicable cost principles, such as (without limitation): Advertising and public relation costs, entertainment costs, fines and penalties, lobbying costs, and defense and prosecution of criminal and civil proceedings.
- (3) Any costs excluded from this proposal, such as Tribal enterprises, gaming operations, and Tribal schools and programs, including all or portions of the general fund, are separately administered and do not benefit from tribal administration included in the indirect cost proposal.
- (4) All costs included in this proposal are properly allocable to Federal agreements on the basis of a beneficial or causal relationship between the expenses incurred and the agreements to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently.

I declare under penalty of perjury that the foregoing is true and correct.

Signature:



Date:

2/17/10

Name:

Waldo W. Walker

Title:

Chairman

Name of Organization:

Washoe Tribe of NV and CA

Project Title: The Washoe Tribe Wetland Development and Assessment Program

Identification of Core Element: Monitoring and Assessment

Actions applied to a core element that are addressed in this proposal: Development of a monitoring and assessment strategy consistent with *Elements of a State Water Monitoring and Assessment Program for Wetlands* (EPA, 2006) that will be used to manage Tribal wetlands, develop modified or new techniques, and develop water quality standards and monitor wetland resources.

National and/or Regional Priority Area(s): 1) National Priority C, Wetland Monitoring and Assessment; 2) National Priority B, Regulation (Enhancing Wetland Protection); 3) Regional Priority Evaluation and Planning of Mitigation in a Watershed Context

Name of Applicant: Washoe Tribe of Nevada and California

Key personnel: Marie Barry, Environmental Program Director,

marie.barry@washoetribe.us, 775-265-8682

Geographic Location:

USGS Hydrologic Unit Code Levels: 16050201, 16050202, and 16050302.

Carson River Watershed, Truckee River Watershed, and Lake Tahoe Watershed.

Total project cost: \$184,773

Federal Dollars Requested: \$138,579

Abstract

The proposed project will develop a baseline Tribal wetland assessment and monitoring database. WEPD will prioritize impaired wetland areas and monitor water quality and wetland health of several of the most substantial wetlands across the data set and evaluate where possible the effects of land use practices, BMP's and climate change on wetland health. The proposed project will utilize the data and findings from the wetland assessment and monitoring to develop a draft Tribal Monitoring and Assessment Strategy.

Project Description:

National Priority Area: Wetland Program Development and Project Need

The Washoe Tribe of Nevada and California is seeking EPA funding to develop a comprehensive landscape profile and Level I assessment of riparian forests, shrublands, and wetlands throughout Tribal lands. The proposed project will assist the Tribe in development of a Tribal comprehensive wetland program which will protect, restore, and manage wetland resources. All activities presented involve program building and are outside the scope of existing regulatory requirements.

The proposed project includes the following tasks: 1). track monitoring data in a system that is accessible, updated on a timely basis and integrated with other water quality data and 2). analyze monitoring data to evaluate wetlands extent and condition/function and to inform decision-making. These steps are necessary for development of a comprehensive wetland strategy and for making informed wetland management decisions.

The project will result in refinement of assessment tools and protocols and an overall broader understanding of tribal wetland resources. The project will assist in future decision making regarding wetland conservation and restoration.

Environmental Outputs, Outcomes, and Results:

Outputs:

- Report detailing results of the wetland surveys
- Accessible database for storing, retrieving and maintaining wetland assessment data
- Workshops on wetland assessments, and training materials
- Draft Tribal-wide Monitoring and Assessment Strategy

Outcomes:

- Increased understanding of wetland conditions
- Improved wetland protection efforts
- Increased understanding of how to ensure “no net loss” of wetlands

Link to EPA's Strategic Plan: The proposed project addresses EPA Strategic Plan Goal 4, Sub-objective 4.3. Wetland assessments, carried out pursuant to a long-term wetland monitoring and assessment strategy, can provide information on the degree to which a specific wetland meets (or departs from) the full health and integrity typical of that wetland ecological system. Assessments also allow wetland program managers to evaluate the status of wetlands in a given watershed or basin, and can inform decisions about wetland mitigation and creation while providing a sound basis for evaluating activities that may result in wetland degradation or loss. Basin-wide wetland surveys also provide vital information to Tribal and state water quality managers working on watershed plans.

Tracking Outputs and Outcomes: The completed database can be used to track data and project results. The database will establish the baseline for measurements and testing of wetlands. Workshop feedback will be used to monitor success.

Description of Project:

The proposed project will develop a comprehensive landscape profile and Level I assessment of riparian forests, shrub lands, and wetlands on Tribal lands. The project will apply GIS-based landscape profiling and Level I assessment tools to characterize riparian and wetland condition in each wetland. It is important to classify and inventory these wetlands into one database. This project will include research of the current and former techniques and protocols for determining wetland condition and extent. The Washoe Tribe Environmental Protection Department (WEPD) has been actively mapping, assessing and classifying tribal wetlands since 2002. Approximately 70 wetlands have been identified to this point. There is a need for complete monitoring and assessment of these sites and compilation of that information into a database in order to establish management guidelines and protocols. The proposed project will monitor and assess each wetland and enter information into a database. The intent of the project is to provide Tribal wetland condition characterization, so

that Tribal decision makers will have geographically-specific data to use in watershed plans, conservation efforts, TAS/WQS development and TMDL development.

Tribal wetlands are at risk of degradation. The broad, flat valleys created by large rivers have been a magnet for the development of agricultural, residential, and transportation corridors. Although the Clean Water Act protects the wetland component of these ecosystems from direct dredge and fill activities, it offers no protection to the surrounding riparian woodlands, shrub lands, and mesic meadows where soils, vegetation, or hydrology do not meet the jurisdictional thresholds of "waters of the United States." Consequently, many of the floodplains that once provided water to and supported a complex matrix of riparian forests, seasonally flooded willow thickets, wet meadows, beaver-created ponds, and oxbows are now largely cleared, filled with homes and ranches and crisscrossed by paved and dirt roads. This has resulted in many Tribal wetlands becoming ecologically isolated from the dynamics that created them.

Guidance for the stages of this project are well identified by Sutula et al (2006) as the six stages in the development of a rapid assessment method 1) identify applications, endpoints and geographic scope; 2) build a scientific foundation through literature reviews and expert feedback; 3) assemble the method as a suite of metrics that can describe the full condition range; 4) verify the method's ability to distinguish between a full range of conditions; 5) calibrate and validate it against quantitative data drawn from more intensive measures of condition; and 6) implement the method by training and outreach. Creation of a comprehensive wetland assessment database is a first step in this process.

Project Tasks:

The proposed project will conduct monitoring and assessment surveys of tribal wetlands in order to develop a draft Tribal Monitoring and Assessment Strategy. The following objectives will assist in completion of the proposed project. The information and strategy developed will be used to develop a comprehensive wetland program.

Objective 1: Monitor and assess Tribal wetlands

A definition for wetlands will be developed and utilized for monitoring and assessment activities. The definition will establish methodology for classification and delineation of monitoring and sampling techniques. Development of new or simplified methodology will be utilized to classify the different types of tribal wetlands. Techniques to be used include radiometers, piezometers, CIR photography, nutrient chemical data, ion chromatograph, and nitrogen and oxygen isotope analysis. The PFC procedure and rating will be utilized in the field.

Monitoring and assessment of wetlands will be completed through the following tasks: developing a working definition of wetlands, completing a Level I wetland landscape profile for the entire assessment area, conducting Level I assessments for a stratified random sample of tribal wetlands, performing Level II assessments for several wetlands, and completing Level III assessments in three randomly selected wetlands.

Wetland landscape profiling will involve a characterization of the number and acreage of each type of wetland across the assessment area. Data will be mapped by tribal GIS staff. Level I-II-III assessments will use a stratified random sample design. Each wetland will be stratified by Level III ecological region, with the number of assessment points for each ecological region being proportionate to the percentage of the basin occupied by that ecological niche. Level I assessments will provide a characterization of wetland condition for each assessed wetland based on remote sensing information. Level II assessments will incorporate observations, measurements and scoring that follow the metrics and methods contained in the USA-RAM currently being developed for the 2011 survey and supplemented by additional metrics. Level III assessments will be based on intensive vegetation measurements taken at the sites. Plants will be used as Level III response taxa because vegetation is characteristically found in all wetland types, including those that are only

seasonally or ephemeraally flooded. Assessments will evaluate the extent of culturally significant vegetation and animals.

Field assessments will be conducted utilizing core indicators and metrics. Detailed photographs, site descriptions, geographic coordinates, and site locations will be collected at each site.

Objective 2: Database Management

A comprehensive wetland database will be created and utilized for the project. The database will determine the extent and distribution of wetlands throughout tribal lands. All Level II and III site information and data collected will be entered into the database. Entry of data into STORET will be conducted as approval allows. All data will be analyzed and scored to calculate individual Level I, II and III rankings for each wetland, based on a scale of A to D. Individual and overall scores will be included as attributes in the database as well as photos and GPS data. Scores will be verified, calibrated and validated using the California Rapid Assessment Methodology (CRAM), the Corp of Engineers Field Guide for Wetland Delineation, and USFS Riparian Scorecard.

The Tribe will collaborate with local, state, federal entities on the results of the monitoring and assessments. WEPD will collaborate with other organizations involved in wetland protection and restoration.

WEPD will identify other sources of potential data and monitoring information. Data will be evaluated for implementation in watershed planning efforts. WEPD will review literature and descriptions for herbaceous wetland ecosystems throughout Nevada and California.

WEPD will utilize Natureserve/ GAP classifications and descriptions.

WEPD will identify core indicators, metrics and thresholds appropriate to each wetland ecosystem for inventories and classification. The proposed indicators, metrics for using them, and threshold levels for ranking systems will be considered for use in the field. Supplemental

indicators (macroinvertebrate taxa richness) will be evaluated for use in specific wetland ecosystems identified as appropriate.

NWI maps, Digital elevation models, Color infrared photos, Level I landscape profiles and local expert information will be collected.

WEPD will develop a GIS wetland layer from the collected data and establish reference sites.

Objective 3: Develop draft Tribal Monitoring and Assessment Strategy

Data and findings from the wetlands monitoring and assessments will be summarized and incorporated into a draft monitoring and assessment strategy for tribal wetlands. The monitoring data will be analyzed to evaluate wetlands extent, condition, and function. The strategy will assist with future wetland protection and restoration efforts. The strategy will be consistent with the EPA Elements of a State Monitoring and Assessment Program for Wetlands. The Tribe will use the strategy to manage wetlands and incorporate information into water quality standards development.

The strategy will summarize which methodology is best suited for tribal wetlands. The strategy will evaluate the metrics used.

Objective 4: Education and Outreach

WEPD will design and deliver workshops and presentations regarding wetland monitoring and assessments. Presentations will emphasize strategies for wetland conservation, restoration activities, and watershed planning utilizing data from monitoring and assessments. Training materials that classify and inventory wetlands will be either developed or purchased. Brochures will be developed and information will be available on the tribal website. Planning and participation in public events will also provide education and outreach opportunities.

Partnership Information

Partnerships will include Washoe Tribal entities, Pyramid Lake Paiute Tribe, Walker River Paiute Tribe, USDA Natural Resources Conservation Service, Western Nevada Resource

OK - not listing workshops
cy

Conservation Council (WNRC&D), the USDA Forest Service (USFS), US Fish and Wildlife Service (USFWS), Bureau of Land Management (BLM), Bureau of Indian Affairs (BIA), The National Wetlands Inventory (NWI), The Nature Conservancy, Nevada Department of Environmental Protection (NDEP), Douglas County, Carson City, The Nevada Natural Heritage Program, Carson Valley Conservation District, Upper Carson River Management Group, and staff/professors at University of Nevada-Reno.

Information will be also be obtained from United States Geological Society (USGS), Tahoe Regional Planning Authority (TRPA), Lahontan Regional Water Quality Control Board (LRWQCB), University of California at Davis – Tahoe Research Group (UCD-TRG), Tahoe Center for Environmental Sciences, University of Nevada Cooperative Extension, Nevada Department of Transportation (NDOT), California Department of Transportation (Cal-Trans) and the Remote Sensing Center at UNR.

The Washoe Tribe is a federally recognized Indian Tribe and is in the process of applying for Treatment as a State status with US EPA for water quality standards and certification programs under Sections 303 and 401.

Milestone Schedule

The proposed project is an 18 month project, with an anticipated start date of October 1, 2010.

Timetable

Monitor and Assess Tribal Wetlands	Months 1-10
Data Management	Months 10-14
Development Draft Strategy	Months 14-18
Education and Outreach	Ongoing

Detailed Budget Narrative

Personnel:

The Washoe Tribe maintains an Indian Hiring Preference Policy that gives priority to Tribal members for all Tribal positions.

- The Environmental Program Director (PD) will devote time to overall supervision of this project to include supervision, approval of grant expenditures, and accomplishing specific activities. The Director will earn \$44.25 per hour for a total of 200 hours for the 18 month period. The PD will cost \$8,850 for the budget period.
- The Environmental Program Coordinator (PC) will devote time to accomplish specific activities of the project. The Coordinator will earn \$33.25 per hour for a total of 100 hours for the 18 month period. The PC will cost \$3,325 for the budget period.
- The Environmental Specialist II (ES II) will devote time to complete specific activities of the project. The ES II will earn \$23.38 per hour for a total of 2080 hours for the 18 month period. The ES II will cost \$48,630 for the budget period.
- The Clerical Assistant will devote time to assisting with completion of the deliverables for this grant. This position will earn \$13.20 per hour for a total of 100 hours for the 18 month period. The Environmental Secretary will cost \$1,320 for the budget period.
- The Administrative Assistant will devote time to assisting with completion of the deliverables for this grant. This position will earn \$17.57 per hour for a total of 180 hours for the 18 month period. The Environmental Secretary will cost \$3,163 for the budget period.
- The Environmental Specialist II (ES II) will devote time to complete specific activities of the project. The ES II will earn \$23.38 per hour for a total of 770 hours for the 18 month period. The ES II will cost \$18,003 for the budget period. This cost will be in kind match from 638 funds to assist with completion of project tasks.

Fringe Benefits:

Payroll taxes include social security tax (FICA), state unemployment insurance (SUTA), and worker's compensation insurance (SIIS). Calculation of the above benefits is derived by multiplying the total personnel cost by a predetermined percentage. These percentages are as follows: FICA at 0.0765, SUTA at 0.018, SIIS at 0.0221. Health insurance includes medical, dental, and vision coverage for employees. This is calculated at \$578.00 per month for one full time employee over the 18 month period. Employee paid dependent health insurance is also available. Vacation liability is estimated at 80 hours per year. The Washoe Tribe has a voluntary retirement plan. The retirement plan and the vacation plan are both determined by multiplying 6% by the sum of personnel cost, FICA, SUTA, SIIS and Health Benefits. Fringe will be used for in kind match from 638 funds for the ES II position identified as in kind match above.

Travel:

\$2,000 for conferences and trainings. This will cover airfare, hotel and per diem costs for travel to these conferences.

\$1,500 for local travel to include mileage for monitoring and assessments.

Equipment:

\$15,019 for equipment utilized by the project including GIS/GPS, plotter, vehicles, and quads will be in kind match.

Contractual:

\$5,000 for laboratory fees for monitoring and assessment of wetlands using various techniques identified in the narrative.

Other:

\$3,000 for communications operations and repairs, telephone, and utilities. This will also include assisting with department telephone, postage, cell phone, internet, mail, electronic

webpage, maintenance, and security system costs. \$1,800 for cell phone charges over the 18 month project period. \$1,800 for vehicle maintenance, insurance and registration.

\$1,230 will be in kind match from volunteer events relating to wetland monitoring and assessments.

Indirect Rate:

The indirect cost is determined by multiplying 11.75% by the sum of the direct costs minus contractual and equipment expenses.

Restoration Demonstration Project Information:

Not Applicable

Programmatic Capability/ Technical Experience/ Qualifications:

WEPD has had several federal and non-federal agreements within the past three years. Each of the agreements has set guidelines in reporting of tasks and activities accomplished during the reporting period. The work plan is used as a program logic model and management tool that is used throughout a program's life. Using evaluation and the logic model results in effective programming and offers greater learning opportunities, better documentation of outcomes, and shared knowledge of what works and why.

Five agreements similar in scope and relevance to the proposed project:

1. EPA SWDA Special Purpose Activities: RCRA 8001 FY07
2. EPA Direct Implementation Tribal Cooperative Agreement FY07
3. EPA Non Point Source 319h since 2000
4. EPA Clean Water Act Section 106 since 1998
5. EPA General Assistance Program since 1994

Currently, the WEPD has over 18 agreements with several agencies including: U.S Forest Service, EPA, Department of Transportation, U.S. Department of Energy, Bureau of Reclamation, USDA, Bureau of Indian Affairs, Indian Health Service, NRCS, etc. Examples of outputs and outcomes from previous agreements include the establishment of several law

and order codes, completion of a Non Point Source Assessment and Management Plan, draft Water Quality Standards, Unified Watershed Assessment, Open Dump Closure Plans, wellhead protective fencing of eight sites, several streambank stabilization projects, wildland fire vegetative and erosion control implementation projects, and implementation of the Washoe Integrated Solid Waste Management Plan. The above five agreements listed were implemented successfully by WEPD.

The WEPD also has mandatory monthly reporting requirements for the Tribal Council; this assists with keeping the Council informed of activities, issues, and project updates. The reporting has benefited WEPD in gaining Tribal Council support in natural resource protection.

WEPD maintains a report submittal database for all programs. This database is the needed resource to track the reporting requirements of the multiple funding agencies. The WEPD coordinator oversees this database and the timely completion of the required reports. All reports submitted by WEPD receive internal evaluation prior to submittal. This evaluation verifies grants are making progress toward achieving the expected results. On the occasion an assistance program is behind schedule WEPD does not hesitate to inform the project officer of the lack of progress with reasoning of why it is behind, and the revised date of completion.

The Washoe Tribe has a strong commitment to the restoration of Tribal lands and the aboriginal homelands. WEPD has extensive experience with the issues facing the Tribe and their lands. WEPD staff have various skills and significant experience as a department dealing with issues that threaten tribal lands and the natural and cultural resources. The Program Director, Program Coordinator, and Environmental Specialists' have extensive experience and knowledge of the tasks needed to accomplish the proposed project. WEPD has a diverse and highly trained staff with experience and backgrounds in limnology, geomorphology, hydrology, forestry, fire ecology, natural resource management, fisheries, wildlife, wetlands, invasive species, and land management. Staff have an in-depth

understanding of solid waste issues, compliance and enforcement. WEPD personnel have experience and knowledge in project administration and implementation, education and outreach, developing plans and conducting studies. The WEPD Program Director has significant experience in administering environmental program funding and managing environmental projects and staff. Furthermore, staff are well versed in delivering trainings and workshops in a culturally sensitive manner, to environmental professionals and Tribal leaders and members.

Transfer of Results

Methods and results will be shared through workshops, trainings, presentations at state, regional and national meetings as accepted, and through planned participation with the Western Monitoring and Assessment Workgroup as well as with references to Natureserve. All results and reports will be communicated to Tribal Council and available at WEPD office. In addition, results sharing with partners will be completed through newsletters, partners' meetings, and professional meetings. Some information as approved will be summarized on the Tribal website.

Past Performance:

The Washoe Environmental Protection Department (WEPD) has had several federal and non-federal agreements within the past three years. Each of the agreements has set guidelines in reporting of tasks and activities accomplished during the reporting period. The work plan is used as a program logic model and management tool that is used throughout a program's life. Using evaluation and the logic model results in effective programming and offers greater learning opportunities, better documentation of outcomes, and shared knowledge of what works and why.

Quarterly reports are submitted in a timely manner and contain the following information:

- Comparison of actual versus expected progress achieving environmental outcomes and outputs, for each work plan task.

- Explanations for lack of progress (or anticipated lack of progress) if applicable.
- Suggestion for improving grants performance or modifying expectations (including proposed changes to target due dates) if necessary.
- Status of expenditures (cumulative) for reporting period and explanations of cost overruns/ under-runs if applicable and equipment purchases.

Five Agreements completed by WEPD staff are as follows:

6. EPA SWDA Special Purpose Activities: RCRA 8001 FY07
7. EPA Direct Implementation Tribal Cooperative Agreement FY07
8. EPA Non Point Source 319h since 2000
9. EPA Clean Water Act Section 106 since 1998
10. EPA General Assistance Program since 1994

Currently, the WEPD has over 18 agreements with several agencies including: U.S Forest Service, EPA, Department of Transportation, U.S. Department of Energy, Bureau of Reclamation, USDA, Bureau of Indian Affairs, Indian Health Service, NRCS etc. Examples of outputs and outcomes from previous agreements include the establishment of several law and order codes, completion of a Non Point Source Assessment and Management Plan, draft Water Quality Standards, Unified Watershed Assessment, Open Dump Closure Plans, wellhead protective fencing 8 sites, several streambank stabilization projects, wildland fire vegetative and erosion control implementation projects, and implementation of the Washoe Integrated Solid Waste Management Plan. The above five agreements listed were implemented successfully by WEPD.

The WEPD also has mandatory monthly reporting requirements for the Tribal Council this assists with keeping the Council informed of activities, issues, and project updates. The reporting has benefited WEPD in gaining Tribal Council support in natural resource protection. The EPA Project Officer for the Tribe's water programs has a strong relationship with WEPD and also is aware of local watershed issues. The long standing partnerships

(federal, state, local, and tribal) within the Carson River Watershed (includes the Clear Creek Watershed) has also lead to many important efforts to protect water quality and other natural resources.

Quality Assurance/Quality Control (QA/QC):

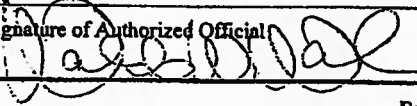
The Washoe Tribe has a written QA/QC plan for data collection and an invasive species identification, and reporting protocol. Current strategy for entry of water quality data in STORET/WQX will be followed.

Invasive Species Control:

Project staff will undertake and continue an active program of invasive weed control. This program is lead by Dr. John Warpeha. The proposed project will not facilitate the introduction or spread of invasive species. Vehicles will be cleaned and inspected prior to entering and exiting project areas. If an invasive species problem is encountered in the field, it will be immediately reported and addressed.

FORM

Approved By OMB: No. 2030-0020 Expires 04-30-2012

<p align="center">Preaward Compliance Review Report for All Applicants and Recipients Requesting EPA Financial Assistance <i>Note: Read instructions on other side before completing form.</i></p>		
I.	Applicant/Recipient (Name, Address, State, Zip Code).	DUNS No.
	Washoe Tribe of Nevada and California	021982913
II.	Is the applicant currently receiving EPA assistance?	
	Yes	
III.	List all civil rights lawsuits and administrative complaints pending against the applicant/recipient that allege discrimination based on race, color, national origin, sex, age, or disability. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.)	
	None	
IV.	List all civil rights lawsuits and administrative complaints decided against the applicant/recipient within the last year that allege discrimination based on race, color, national origin, sex, age, or disability and enclose a copy of all decisions. Please describe all corrective action taken. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.)	
	None	
V.	List all civil rights compliance reviews of the applicant/recipient conducted by any agency within the last two years and enclose a copy of the review and any decisions, orders, or agreements based on the review. Please describe any corrective action taken. (40 C.F.R. § 7.80(c)(3))	
	None	
VI.	Is the applicant requesting EPA assistance for new construction? If no, proceed to VII; if yes, answer (a) and/or (b) below.	
	Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/>	
a.	If the grant is for new construction, will all new facilities or alterations to existing facilities be designed and constructed to be readily accessible to and usable by persons with disabilities? If yes, proceed to VII; if no, proceed to VI(b).	
	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
b.	If the grant is for new construction and the new facilities or alterations to existing facilities will not be readily accessible to and usable by persons with disabilities, explain how a regulatory exception (40 C.F.R. § 7.70) applies.	
	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
VII.*	Does the applicant/recipient provide initial and continuing notice that it does not discriminate on the basis of race, color, national origin, sex, age, or disability in its programs or activities? (40 C.F.R. § 5.140 and § 7.95)	
	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
a.	Do the methods of notice accommodate those with impaired vision or hearing?	
	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
b.	Is the notice posted in a prominent place in the applicant's offices or facilities or, for education programs and activities, in appropriate periodicals and other written communications?	
	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
c.	Does the notice identify a designated civil rights coordinator?	
	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
VIII.*	Does the applicant/recipient maintain demographic data on the race, color, national origin, sex, age, or handicap of the population it serves? (40 C.F.R. § 7.85(a))	
	Yes <input checked="" type="checkbox"/>	
IX.*	Does the applicant/recipient have a policy/procedure for providing access to services for persons with limited English proficiency? (40 C.F.R. Part 7, E.O. 13166)	
	Yes <input checked="" type="checkbox"/>	
X.*	If the applicant/recipient is an education program or activity, or has 15 or more employees, has it designated an employee to coordinate its compliance with 40 C.F.R. Parts 5 and 7? Provide the name, title, position, mailing address, e-mail address, fax number, and telephone number of the designated coordinator.	
	Don Johnson, Training and Staff Development Coordinator, 919	
XI.*	If the applicant/recipient is an education program or activity, or has 15 or more employees, has it adopted grievance procedures that assure the prompt and fair resolution of complaints that allege a violation of 40 C.F.R. Parts 5 and 7? Provide a legal citation or Internet address for, or a copy of, the procedures.	
	Yes. http://washoetribe.us	
<p align="center">For the Applicant/Recipient</p> <p>I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law. I assure that I will fully comply with all applicable civil rights statutes and EPA regulations.</p>		
A.	Signature of Authorized Official	B. Title of Authorized Official
		Chairman
		C. Date
		8/16/10
<p align="center">For the U.S. Environmental Protection Agency</p> <p>I have reviewed the information provided by the applicant/recipient and hereby certify that the applicant/recipient has submitted all preaward compliance information required by 40 C.F.R. Parts 5 and 7; that based on the information submitted, this application satisfies the preaward provisions of 40 C.F.R. Parts 5 and 7; and that the applicant has given assurance that it will fully comply with all applicable civil rights statutes and EPA regulations.</p>		
A.	Signature of Authorized EPA Official	B. Title of Authorized EPA Official
		C. Date

See ** note on reverse side

EPA Form 4700-4 (Rev. 04/2009). Previous editions are obsolete.

Annual Certs valid from 8/16/10 - 8/15/11

Faxed copy to
 Gina Edwards
 OCR-1
 8/17/10

**KEY CONTACTS FORM**

Authorized Representative: *Original awards and amendments will be sent to this individual for review and acceptance, unless otherwise indicated.*

Name: Waldo W. Walker

Title: Tribal Chairman

Complete Address: 919 Highway 395 South
Gardnerville, Nevada 89410

Phone Number: 775-265-8600 ext. 1104

Payee: *Individual authorized to accept payments.*

Name: Pauline Howe

Title: Finance Director

Mail Address: 919 Highway 395 South, Gardnerville, Nevada 89410

Phone Number: 775-265-8600 ext. 1123

Administrative Contact: *Individual from Sponsored Program Office to contact concerning administrative matters (i.e., indirect cost rate computation, rebudgeting requests etc.)*

Name: Marie Barry

Title: Environmental Program Director

Mailing Address: 919 Highway 395 South, Gardnerville, Nevada 89410

Phone Number: 775-265-8682

FAX Number: 775-265-3211

E-Mail Address: marie.barry@washoetribe.us

Principal Investigator: *Individual responsible for the technical completion of the proposed work.*

Name: Marie Barry

Title: Environmental Program Director

Mailing Address: 919 Highway 395 South, Gardnerville, Nevada 89410

Phone Number: 775-265-8682

FAX Number: 775-265-3211

E-Mail Address: marie.barry@washoetribe.us

Web URL: _____

ASSURANCES - NON-CONSTRUCTION PROGRAMS

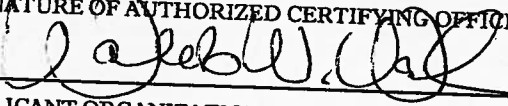
Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.
As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of the project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 795), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provision of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a-7), the Copeland Act (40 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333), regarding labor standards for federally assisted construction subagreements.

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10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplain in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the aw: ; agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.)
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Chairman
APPLICANT ORGANIZATION Washoe Tribe of Nevada and California	DATE SUBMITTED 8/16/10